

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
09432/168002

In Re Application Of: **David L. MALLIS**

Serial No.
09/843,560

Filing Date
04/26/2001

Examiner
D. Tugbang

Group Art Unit
3729

Invention: **OPP. TUBULAR JOINT WEAR INDICATOR**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.


45,925
Signature

Jonathan P. Osha, Reg. No. 333,986
Rosenthal & Osha, L.L.P.
1221 McKinney Street, Suite 2800
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CC:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
09432/168002

In Re Application Of: **David L. MALLIS**

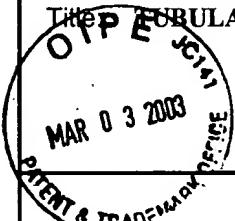
Serial No.
09/843,560

Filing Date
04/26/01

Examiner
D. Tugbang

Group Art Unit
3729

REGULAR JOINT WEAR INDICATOR



Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,
whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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PATENT TRADEMARK OFFICE

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
09432/168002

In Re Application Of: **David L. MALLIS**

Serial No.
09/843,560

Filing Date
04/26/01

Examiner
D. Tugbang

Group Art Unit
3729

Title: **TUBULAR JOINT WEAR INDICATOR**

O I P E
MAR 03 2003
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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.

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Jeffrey S. Bergman
Signature

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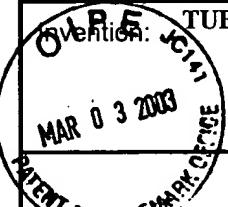
Applicant(s): David L. MALLIS

Docket No.

09432/168002

Serial No.
09/843,560Filing Date
April 26, 2001Examiner
D. TugbangGroup Art Unit
3729

TUBULAR JOINT WEAR INDICATOR



Thereby certify that the following correspondence:

Information Disclosure Statement*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

March 3, 2003*(Date)***Charlotte L. Cunningham***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EV 263169096 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.****RECEIVED**

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **David L. MALLIS**

Docket No.

09432/168002

Serial No.
09/843,560Filing Date
April 26, 2001Examiner
D. TugbangGroup Art Unit
3729Invention: **OPTICAL FIBER JOINT WEAR INDICATOR****RECEIVED**

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I hereby certify that the following correspondence:

Response to Office Action dated January 3, 2003

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